

# **Exhibit 4**

To the US District Court  
for the Southern District of New York

**Reference:**  
G01290882;G01290882-000013

**Contact:**  
Nina Reiser  
nina.reiser@finma.ch  
+41 (0)31 327 91 96

through Lenz & Staehelin, Geneva

Bern, 28 February 2020

### **Confirmation**

In our capacity as the Swiss Financial Market Supervisory Authority FINMA (formerly Swiss Federal Banking Commission) and responsible for banking supervision, we hereby confirm that

**the fifty four (54) below mentioned banks ("the banks")**

were authorized to act as a bank in accordance with Article 3 of the Federal Act on Banks and Savings Banks of 8 November 1934 from 1<sup>st</sup> January 2004 to 31 December 2008 ("relevant period").

In addition, we can confirm that the banks have been prudentially supervised by our Authority since they were authorized.

### **Entities authorized to act as a bank and supervised by our Authority during the whole relevant period**

- **ABN AMRO Bank (Switzerland) AG**
- **BANCA ARNER SA**
- **Banca Commerciale Lugano**
- **Banco Santander International SA**
- **Bank J. Safra Sarasin AG**
- **Bank Julius Bär & Co. AG**
- **Bank Sal. Oppenheim jr. & Cie (Schweiz) AG**
- **Bank Vontobel AG**
- **Banque Cantonale Vaudoise**
- **Banque Lombard Odier & Cie SA**
- **Banque Pictet & Cie SA**
- **Banque Privée Espirito Santo SA**
- **Banque SYZ SA**





- **Barclays Bank (Suisse) SA**
- **BBVA SA**
- **BIPIELLE Bank (Suisse)**
- **Bordier & Cie**
- **BSI SA**
- **CA Indosuez (Switzerland) SA**
- **CBH Compagnie Bancaire Helvétique SA**
- **Citibank (Switzerland) AG**
- **Clariden Leu AG**
- **Cornèr Banca SA**
- **Credit Suisse AG**
- **Deutsche Bank (Suisse) SA**
- **Dresdner Bank (Schweiz) AG**
- **Edmond de Rothschild (Lugano) SA**
- **Edmond de Rothschild (Suisse) S.A.**
- **EFG Bank AG**
- **Falcon Private Bank AG**
- **FINTER BANK ZÜRICH AG**
- **HINDUJA BANQUE (SUISSE) SA**
- **HSBC Private Bank (Suisse) SA**
- **ING Bank (Suisse) SA**
- **Investec Bank (Switzerland) AG**
- **Lloyds Bank plc, Londres, succursale de Genève**
- **Merrill Lynch Bank (Suisse) SA**
- **Mirabaud & Cie SA**
- **Piguet Galland & Cie SA**
- **PKB PRIVATBANK SA**
- **Privatbank IHAG Zürich AG**
- **Rahn+Bodmer Co.**
- **RBS Coutts Bank AG**
- **Rothschild & Co Bank AG**
- **ROYAL BANK OF CANADA (SUISSE) SA**
- **Schroder & Co Bank AG**
- **Sella Bank SA**
- **SOCIETE GENERALE Private Banking (Suisse) SA**
- **SOCIETE GENERALE Private Banking (Lugano-Svizzera)**
- **UBS AG**
- **Wegelin & Cie.**

**Reference:**

G01290882;G01290882-000013

Reference:  
G01290882;G01290882-000013

**Entities authorized to act as a bank and supervised by our Authority  
during a part of the relevant period**

- **Banca del Gottardo** (from 01.01.2004 to 26.06.2008)
- **Banca Unione di Credito (BUC)** (from 01.01.2004 to 28.12.2006)
- **InCore Bank AG** (from 12.12.2006 to 31.12.2008)

Yours faithfully

**Swiss Financial Market Supervisory Authority FINMA**  
Banks division



Annemarie Nussbaumer



Nina Reiser